UNITED NATIONS

Convention to Combat Desertification

Distr.
GENERAL

ICCD/COP(4)/2/Add.3
1 November 2000

ORIGINAL: ENGLISH

CONFERENCE OF THE PARTIES
Fourth session
Bonn, 11-22 December 2000
Item 6 (c) of the provisional agenda[1]

# PROGRAMME AND BUDGET

## (c) PERFORMANCE REPORT OF THE CONVENTION'S TRUST FUNDS IN 1999

Note by the secretariat

Addendum

CONTENTS


| | | Paragraphs | Page |
|---|---|---|---|
| I. | INTRODUCTION | 1 - 6 | 3 |
| | A. Mandate | 1 - 3 | 3 |
| | B. Scope of the report | 4 - 6 | 3 |
| II. | PERFORMANCE REPORT | 7 - 51 | 4 |
| | A. Trust Fund to the Core Budget and Supplementary Activities | 7 - 48 | 4 |
| | B. Supplementary contribution to Convention-related activities by the host Government (Bonn Fund) | 49 | 17 |
| | C. Special Trust Fund for Participation in the Process of UNCCD | 50 - 51 | 18 |
| III. | HUMAN RESOURCES | 52 - 58 | 19 |
| | A. Core Budget | 52 - 57 | 19 |
| | B. Programme support costs | 58 | 20 |
| IV. | WORKING CAPITAL RESERVE | 59 - 60 | 20 |


Annexes


I. Status of contributions to the Core Budget as at 31 December 1999 . . . . . 22


[1] ICCD/COP(4)/1.

Page

II. Status of contributions and outstanding pledges to the Supplementary Fund as at 31 December 1999 . . . . . 26
III. Status of contributions to the Special Trust Fund for Participation in the Process of UNCCD as at 31 December 1999 . . . 27

Tables

1. Summary of income in 1999 . . . . . 4
2. Expenditures in 1999 by programme . . . . . 5
3. Total expenditure in 1999 by object of expenditure . . . . . 6
4. Expenditure in 1999 for the policy-making organs . . . . . 7
5. Expenditure in 1999 for executive direction and management . . . 7
6. Expenditure in 1999 for substantive support to the COP and its subsidiary bodies . . . . . 8
7. Expenditures in 1999 to facilitate the implementation of the Convention . . . . . 9
8. Expenditures in 1999 for the facilitation of implementation and coordination in the Africa region . . . . . 10
9. Expenditures in 1999 for the facilitation of implementation and coordination in the Asia region . . . . . 11
10. Expenditures in 1999 for the facilitation of implementation and coordination in Latin America and the Caribbean region . . . . . 12
11. Expenditures in 1999 for the facilitation of implementation and coordination in the Northern Mediterranean region . . . . . 13
12. Expenditures in 1999 for external relations and public information . . . . . 14
13. Expenditures in 1999 for administration and finance . . . . . 15
14. Expenditures in 1999 Global Mechanism . . . . . 16
15. Core Budget Administration Account of the Global Mechanism in 1999 17
16. Status of Special Trust Fund for Participation Expenditure report as of 31 December 1999 . . . . . 18
17. UNCCD secretariat posts in 1999 by source of funding . . . . . 19
18. Geographical distribution of staff members under the Core Budget and programme support account in the Professional and above category . . . . . 20

# I. INTRODUCTION

## A. Mandate

1. Rule 10 (d) of the Rules of Procedure of the Conference of the Parties (ICCD/COP(1)/11/Add.1) provides that the provisional agenda for each ordinary session of the Conference of the Parties (COP) shall include, as appropriate, the proposed budget as well as all questions pertaining to the accounts and financial arrangements.

2. The Executive Secretary provided to the third session of the Conference of the Parties reports on the financial performance of the Convention budget for 1999, Trust Fund for Supplementary Activities and Trust Fund for Participation in the Process of UNCCD as at July 31 and estimates up to 31 December 1999. The report covers the actual performance of the whole budget year 1999.

3. The Conference of the Parties at its third session, after taking note of the report of the Executive Secretary on administrative and financial matters, requested the Executive Secretary to report at its fourth session on the financial performance of the Convention budget and to submit proposals on how the budgeting and reporting process could be improved, taking into account the developments and practices in the United Nations Framework Convention on Climate Change, the Convention on Biological Diversity and any other relevant intergovernmental organizations. In response to that request, the present report also gives information on programme implementation and results achieved in addition to the performance level.

## B. Scope of the report

4. This document is organized as follows:

(a) Section II contains information on performance for the year 1999 as of 31 December for the four trust funds administered by the secretariat, namely, General Trust Fund for the Core Budget (Core Budget), Trust Fund for Supplementary Activities of UNCCD (Supplementary Fund), Special Trust Fund for Participation in the Process of UNCCD (Participation Fund) and the Trust Fund for the Supplementary Contribution for Convention Activities by the Host Government (Bonn Fund);

(b) Section III provides information on the management of human resources;

(c) Section IV provides information on the status of working capital reserve.

5. When reviewing the performance report, consideration should be given to the fact that while a budget of US$6,100,000 was approved, only US$4,956,800 was to come from the assessed contributions. In addition, DM 1,000,000 (US$601,383 United Nations official rate of exchange for the month of January 1999) was to be received as a special contribution from the Government of Germany to the Core Budget, therefore making a grand total of US$5,558,183. In accordance with decision 6/COP.2, the secretariat was to make savings equivalent to DM 1,000,000. After taking into account programme support and working capital reserve, the amount available for all programmes was US$4,541,800.

6. This document should be read in conjunction with other documents relating to the administrative and financial matters of the Convention prepared by the secretariat for the fourth session. The additional documents are:

(a) The report on the performance of the Trust Fund for Supplementary Activities (ICCD/COP(4)/2/Add.4);

(b) The final audited statement of accounts for 1999 for the trust funds of UNCCD (ICCD/COP(4)/2/Add.5).

## II. PERFORMANCE REPORT

### A. Trust Fund to the Core Budget and Supplementary Activities

#### 1. Report on income

7. The status of contributions to the Core Budget and Supplementary Fund is summarized below (table 1).

**Table 1. Summary of income in 1999**
*(United States dollars)*

| Source | Core Budget received contributions as of 31/12/99 | Supplementary Fund contributions as of 31/12/99 |
|---|---|---|
| 1999 assessed contributions from Parties | 4 962 259 | - |
| Special contribution from Germany (DM 1 million) | 601 383 | - |
| Balance of supplementary funds brought forward from 1998 | - | 1 582 658 |
| 1999 voluntary contributions to the Supplementary Fund | | 3 761 348 |
| **Subtotal** | **5 563 642** | **5 344 006** |
| Interest income | 27 622 | 39 874 |
| **Total** | **5 591 264** | **5 383 880** |

8. As at 31 December 1999, 62 Parties had paid their contributions. Of the total amount of assessed contributions received (US$4,962,259), US$402,125 represents overpayments as a consequence of the reductions in assessed contributions due to an increase in the number of Parties to the Convention. These overpayments will be credited against the future assessed contributions of the Parties concerned. Paid contributions represented 91.9 per cent of the approved contributions. At the end of the reporting period, 97 country Parties had not paid their assessed contributions amounting to US$396,736. The Executive Secretary has sent out reminder letters inviting all Parties who have not yet paid their contribution in full to do so without further delay. Contributions to the Core Budget are reported in annex I, contributions to the Supplementary Fund in annex II and contributions to the Participation Fund in annex III.

9. In addition to the above, voluntary contributions of US$601,383 (DM 1 million) has been received from the host Government. These contributions are taken into consideration in table 1.

#### 2. Report on expenditures

10. Summaries of actual expenditure under the Core Budget and Supplementary Funds for 1999, broken down by programme and object of expenditure respectively, for the year 1999 are given in tables 2 and 3.

11. When reviewing these tables it should be borne in mind that this being the first budget, the secretariat lacked experience to enable it to estimate accurately the expenditures under various objects of expenditure. The experience gained from the results of this year will help to improve the accuracy of future budgets.

12. Actual expenditure for the year amounted to US$4,520,912 or 99.5 per cent of the funds made available by the COP 2 (US$4,541,800, see paragraph 5 above). However it should be noted that this expenditure level was made possible by the overpayment referred to above (paragraph 8), as there were still some countries that had not paid their contributions. It is anticipated that the outstanding contributions will be fully paid up in the year 2000. Should these contributions not be forthcoming in the year 2000, the secretariat will have to draw from the working capital reserve in order to repay the funds borrowed from the overpayments.

Table 2. Expenditures in 1999 by programme

*(United States dollars)*

| Programme | 1999 Budget | Actual 1999 expenditure | % | Supplementary Fund |
|---|---|---|---|---|
| Policy-making organs | 42 000 | 33 089 | 78.8 | 0 |
| Executive direction and management | 625 000 | 704 418 | 112.7 | 56 082 |
| Substantive support to the COP & its subsidiary bodies | 542 000 | 475 142 | 87.7 | 402 243 |
| Facilitation of implementation & coordination | 1 384 000 | 1 214 659 | 87.8 | 1 726 144 |
| External Relations & Public Information and NGOs | 388 000 | 325 142 | 83.8 | 367 715 |
| Administration and Finance | 1 000 500 | 781 512 | 78.1 | 0 |
| Global Mechanism | 1 003 000 | 987 050 | 98.4 | 0 |
| **Subtotal** | **4 984 500** | **4 520 912** | **90.7** | **2 552 184** |
| Programme support costs* | 648 000 | 503 322 | 77.7 | 287 239 |
| Total | 5 632 500 | 5 024 234 | 89.2 | 2 839 423 |
| Working capital reserve | 467 500 | 371 765 | 79.5 | 382 828 |
| **Grand total** | **6 100 000** | **5 395 999** | **88.5** | **3 222 251** |

* In accordance with the United Nations guidelines (ST/SGB/188, paragraph 47), a 13 per cent programme support factor has been applied to expenditures on all the trust funds except where exemptions have been authorized by the Controller. The amount has been calculated on the basis of the actual expenditures incurred, and debited as a charge to this account and credited to the United Nations.

**Table 3. Total expenditure in 1999 by object of expenditure**
*(United States dollars)*

| Object of expenditure | 1999 Budget | Actual 1999 expenditure | % | Supplementary Fund |
|---|---|---|---|---|
| Staff costs, | 2 940 000 | 2 361 664 | 80.3 | 56 082 |
| consultants and experts | 158 000 | 253 233 | 160.3 | 186 433 |
| Travel of participants and representatives | 0 | 31 479 | ∞ | 638 011 |
| Travel of staff | 355 500 | 506 134 | 142.4 | 89 630 |
| Contractual services | 15 000 | 16 592 | 110.6 | 113 452 |
| General operating expenses | 464 000 | 307 480 | 66.3 | 0 |
| Hospitality | 14 000 | 5 291 | 37.8 | 0 |
| Supplies and material | 35 000 | 52 039 | 148.7 | 0 |
| Grants | 1 003 000 | 987 000 | 98.4 | 1 468 576 |
| **Subtotal** | **4 984 500** | **4 520 912** | **90.7** | **2 552 184** |
| Programme support costs | 648 000 | 503 322 | 77.7 | 287 239 |
| **Total** | **5 632 500** | **5 024 234** | **89.2** | **2 839 423** |
| Working capital reserve | 467 500 | 371 765 | 79.5 | 382 828 |
| **Grand total** | **6 100 000** | **5 395 999** | **88.5** | **3 222 251** |

13. The savings decided upon by the Conference of the Parties were mainly achieved as a result of the higher than normal vacancy rate. Apart from direct savings in salaries and related costs, the high vacancy rate, during the early part of the reporting period, further lowered the implementation level under general operating expenditure. It should be pointed out that the expenditure level under general operating costs is directly linked to the number of staff on-board. This meant that the share of the UNCCD in the costs of the common maintenance and other services in Haus Carstanjen was significantly lower than anticipated.

14. To make further savings under the Core Budget account the secretariat, whenever possible: (a) raised and used voluntary contributions under the Supplementary Fund, the Bonn Fund and the Participation Fund; (b) used the programme support account (see para. 58, below); and (c) imposed a strict control over the use of the Core Budget funds.

15. Tables 2 and 3 indicate that while the overall expenditure level under the Core Budget is 88.5 per cent of the approved budget, actual expenditures were more than indicated in the Programme and Budget 1999 (ICCD/COP(2)/2), as follows:

(a) Consultants and experts: Due to the lengthy recruitment process in the initial part of the year, the secretariat had to hire short-term personnel and consultants to carry out normal staff functions. In addition, the secretariat required expert services to continue raising awareness and facilitate the ratification or accession processes in the affected regions of Europe, Asia and Pacific, North America, and Latin America and the Caribbean;

(b) Travel of staff: Given the relationship between the Convention and other international instruments, there was a need for the secretariat to actively anticipate in meetings of other conventions, United Nations bodies and international organizations. The executive direction and management also had more consultations with government authorities, intergovernmental bodies and other partners on cooperation in the framework of the implementation of the Convention at national, subregional and regional levels;

(c) Contractual services: Cost of translation services provided to the meeting of the ad hoc panel of experts on traditional knowledge received no supplementary funding and had to be undertaken under the Core Budget;

(d) Supplies and materials: This being the first year of operation of the permanent secretariat, there was limited experience in the level of office supplies required for the whole year. It is therefore expected that future budget submissions will be closer to real needs.

3. Programme delivery

*Policy-making organs*

Table 4. Expenditure in 1999 for the policy-making organs

*(United States dollars)*

| Object of expenditure | 1999 budget | Actual 1999 expenditure | Supplementary Fund |
|---|---|---|---|
| Travel of staff | 32 000 | 29 860 | 0 |
| Hospitality | 10 000 | 3 229 | 0 |
| **Total** | **42 000** | **33 089** | **0** |

16. Expenditure under this heading was used to finance travel expenses of five technical Secretariat staff from United Nations Headquarters in New York to Recife, Brazil, to service the third session of the Conference of the Parties and for hospitality costs in connection with that session.

*Executive direction and management*

Table 5. Expenditure in 1999 for executive direction and management

*(United States dollars)*

| Object of expenditure | 1999 budget | Actual 1999 expenditure | Supplementary Fund |
|---|---|---|---|
| Staff costs (1ASG, 1D-1, 1P-3, 2GS) | 480 000 | 474 301 | 56 082 |
| Consultants and experts | 42 000 | 69 486 | 0 |
| Travel of participants and representatives | | (424) | 0 |
| Travel of staff | 99 000 | 158 994 | 0 |
| Hospitality | 4 000 | 2 061 | 0 |
| **Total** | **625 000** | **704 418** | **56 082** |

17. Expenditure under this heading totalled US$704,418 (112.7 per cent of the approved budget). The expenditures covered salaries and related costs of the Executive Direction and Management staff, consultants' fees and travel, official travel and hospitality.

18. The executive direction and management staff provided policy guidance based on the COP decisions and coordination of secretariat activities, as well as advice to the bodies of the Convention. In his external relations, the Executive Secretary gave high priority to developing closer cooperation and synergy with activities of other conventions, United Nations bodies and international governmental and non-governmental organizations. The management also ensured that the move of the secretariat from Geneva to Bonn went smoothly and that the recruitment of staff was completed. Awareness and advocacy of desertification issues were also given priority by the Executive Secretary with the aim of widening global support for the Convention. The Convention was acceded to or ratified by 15 new Parties in 1999 bringing the total number of the Parties to 160 by the end of the year. Substantial groundwork was done to ensure that many other developed and developing countries will be joining the UNCCD in the very near future.

*Substantive support to the COP and its subsidiary bodies*

**Table 6. Expenditure in 1999 for substantive support to the COP and its subsidiary bodies**

*(United States dollars)*

| Object of expenditure | 1999 budget | Actual 1999 expenditure | Supplementary Fund |
|---|---|---|---|
| Staff costs (2P-5, 1P-3, 1P-2, 1GS) | 442 000 | 350 607 | 0 |
| Consultants and experts | - | 41 591 | 37 204 |
| Travel of participants and representatives | 20 000 | 31 718 | 110 459 |
| Travel of staff | 65 000 | 40 634 | 7 080 |
| Contractual services | 15 000 | 10 592 | 0 |
| Grants to UNEP | 0 | 0 | 247 500 |
| **Total** | **542 000** | **475 142** | **402 243** |

19. Under the total Core Budget of US$475,142 for the substantive support to the Conference of the Parties and its subsidiary bodies, US$350,605 were used for salaries and other staff costs. US$41,591 were spent on the preparation of official COP documents. US$31,718 were used for the travel of representatives to the CST bureau meeting and US$40,634 for travel of staff to scientific workshops and ad hoc panel meetings. A total of US$10,592 was spent for interpretation services during the CST bureau meeting. Support for scientific and technical activities, which came from the Supplementary Fund, amounted to US$402,243.

20. In accordance with decision 24/COP.2, the third session of the Conference of the Parties (COP 3) was held in Recife, Brazil, from 15 to 26 November 1999. Delegations from 129 parties and observers to the Convention as well as seven international organizations participated. COP 3 initiated the review of the implementation of the Convention and considered 43 reports from affected African

country Parties and subregional organizations. The Conference also adopted 23 decisions including the Convention budget for the biennium 2000-2001, the procedure for the review of the implementation of the Convention, the first review of policies, operational modalities and activities of the Global Mechanism, and an initiative towards a declaration of commitments to enhance the implementation of the Convention.

21. Fifty-three pre-session documents were prepared for COP.3. During the two weeks of the meeting, 29 in-session documents were prepared and issued.

22. In parallel with official sessions of the Conference, a two-day meeting of Members of Parliaments was convened from 27 to 29 November 1999, which was attended by 39 Parliamentarians from 29 countries. The declaration of Members of Parliament was noted by the Conference (decision 21/COP.3), and is included in the official report of COP.3 (ICCD/COP(3)/20).

23. During 1999, two meetings of the bureau of the Conference and one meeting of the bureau of the Committee on Science and Technology were convened in Bonn, Germany. Representatives of all regional groups guided the secretariat in preparing a provisional agenda for the third session of the Conference. The two bureaux were constantly kept informed on the secretariat's activities for the facilitation of the implementation of the Convention at national, subregional and regional levels.

*Facilitation of the implementation and coordination of the Convention*

**Table 7. Expenditures in 1999 to facilitate the implementation of the Convention**

(United States dollars)

| Object of expenditure | 1999 budget | Africa Unit | Asia Unit | LAC/ERPI | NS Mediter unit | Total 1999 Expenditure | Supp. Fund |
|---|---|---|---|---|---|---|---|
| Staff costs (GS-6, NS-4, NS-5, NS-6) | 1 212 000 | 327 220 | 340 000 | 247 000 | 90 000 | 1 004 220 | 0 |
| Consultants and experts | 53 000 | 42 424 | 16 000 | 12 000 | 0 | 69 574 | 148 220 |
| Travel of representatives & participants | 0 | 0 | 0 | 0 | 0 | 0 | 394 314 |
| Travel of staff | 121 000 | 50 043 | 51 324 | 0 | 1 000 | 102 796 | 82 550 |
| Contractual Services | | | | | | | 45 476 |
| Grants | | | | | | | 1 054 574 |
| **Total** | **1 384 000** | **421 507** | **431 324** | **259 216** | **102 562** | **1 214 609** | **1 726 144** |

24. In 1999, facilitation of the implementation and coordination of the Convention included awareness raising, support to the formulation of action programmes at national, subregional and regional levels and the preparation and planning of national reports to the COP. It should be noted that activities supported by the secretariat were often undertaken in coordination with support from other actors in order to achieve the expected results.

25. Organization of nine national awareness-raising seminars, three subregional meetings, three regional meetings and four regional workshops, two

interregional and three global meetings were supported under the Supplementary Fund. Several other seminars, workshops and meetings related to the Convention, held at national, subregional and regional levels, were facilitated through various subregional, regional and non-governmental institutions. Support was provided on request by some countries to facilitate the implementation process.

26. By the end of 1999, 160 countries had acceded the Convention; 42 African countries had submitted their national reports to the COP. Altogether, 29 national action programmes were finalized in various regions. Three subregional action programmes were launched in the African region and three in the Latin American and the Caribbean region. Six regional thematic programme networks were prepared both in the African and Asian regions. Support was also provided for the functioning of three regional coordination units through extrabudgetary contributions.

28. Through the above-mentioned activities, the Convention has moved from awareness-raising to facilitating the preparation of programmes for combating desertification and seeking to promote partnership arrangements for their timely implementation.

**Table 8. Expenditures in 1999 for the facilitation of implementation and coordination in the Africa region**

*(United States dollars)*

| Object of expenditure | Core Budget | Supplementary Fund | Total 1999 expenditure |
|---|---|---|---|
| Staff costs (IP-5, IP-4, IP-3, JOS) | 325 100 | 0 | 325 100 |
| Consultants and experts | 42 926 | 129 601 | 172 427 |
| Travel of representatives and participants | 0 | 147 826 | 147 826 |
| Travel of staff | 53 581 | 49 914 | 103 495 |
| Contractual Services | 0 | 38 475 | 38 475 |
| Grants | 0 | 764 576 | 764 576 |
| **Total** | **421 507** | **1 130 392** | **1 551 899** |

27. Under the total Core Budget of US$421,507 for facilitation of implementation and coordination in the Africa region, US$325,100 were used for salaries and other staff costs; US$53,581 were used for travel of staff to national, regional and subregional meetings and US$42,426 for consultants and experts for preparations of national, regional and subregional meetings. Support to the facilitation activities from the Supplementary Fund amounted to US$1,130,392.

28. The secretariat assisted the affected African country Parties in the preparation of their national reports for the third session of the Conference of the Parties. Furthermore, the secretariat facilitated organization of national awareness-raising seminars and national forums to launch or approve national action programmes. Subregional forums for development, and adoption of the subregional action programmes were supported as well as the formulation of transboundary pilot projects for the Maghreb and Sahel regions. At the regional level, the secretariat facilitated the organization of the third African regional Conference and two workshops for the formulation of recommendations on activities of two thematic programme networks. Assistance

was also provided to support activities undertaken by the Regional Coordination Unit.

29. Results of the facilitation activities can be summarized as follows:

(a) By now, all 53 African countries have ratified the Convention. At the national level, countries have made substantial progress in the elaboration of their national action programmes; so far, 23 national action programmes have been finalized and most of them have already been adopted formally by the respective governments. These countries are now consulting their development partners for the negotiation and conclusion of partnership agreements;

(b) Three subregional action programmes have been finalized and the respective subregional institutions have launched the implementation phase of priority activities identified in the SRAPs;

(c) In order to ensure complementarity between the National Action Programmes and the SRAPs, the secretariat has provided support to the competent subregional organizations so as to enable them to provide technical backstopping to their respective member States in the elaboration of their Action Programmes;

(d) The regional African Conference preparatory to COP 4 provided the opportunity for ministers and national focal points to examine in detail the national, subregional and regional reports to be submitted to COP 4. The participants approved various recommendations that were important for the discussions of COP 4;

(e) Support given to facilitate the elaboration of the Regional Action Programme resulted in the designation of the institutional focal points to coordinate the six thematic programme networks, and the launching of the first activities of the RAP towards the end of the year with the full manning of the Regional Coordination Unit.

**Table 9. Expenditures in 1999 for the facilitation of implementation and coordination in the Asia region**

(United States dollars)

| Object of expenditure | Core budget | Supplementary Fund | Total 1999 expenditure |
|---|---|---|---|
| Staff costs (P-5, P-4, P-3, GS) | 347 500 | 0 | 347 500 |
| Consultants and experts | 34 565 | 11 606 | 46 171 |
| Travel of representatives and participants | 0 | 90 668 | 90 668 |
| Travel of staff | 51 259 | 15 928 | 67 187 |
| Contractual Services | | 7 000 | 7 000 |
| Grants | | 159 402 | 159 402 |
| **Total** | **431 324** | **284 604** | **715 928** |

30. Under the total Core Budget of US$431,324 for facilitation of implementation and coordination in the Asia region, US$345,500 was for salaries and other staff costs. US$51,259 were for travel of staff to national, subregional and regional meetings. Support to the facilitation activities from the Supplementary Fund amounted to US$284,604.

31. The secretariat facilitated inter alia the organization of four national awareness-raising seminars and supported also several countries in their NAP process. It helped in the organization of an Asian focal points meeting, a launching meeting of the Thematic Programme Network on Monitoring and Assessment and an Afro-Asian workshop on early warning systems in Beijing. The secretariat assisted in the accession process in the region and initiated the national reporting exercise. The Regional Coordination Unit started its activities.

32. Results of the facilitation activities can be summarized as follows:

(a) The national awareness-raising seminars strengthened the role of national coordination bodies and encouraged the development of the national action programmes. Three countries were assisted in preparing their NAPs, bringing the total number of completed NAPs to six;

(b) Three additional countries acceded to the Convention;

(c) Planning of national reporting ensured the timely initial preparation for the elaboration of national reports to be submitted by Asian country parties;

(d) At the regional level, the focal point meeting achieved the following: agreement on common guidelines for national reporting; successful launching of the thematic programme network on monitoring and assessment (TPN1) with follow-up activities in the year 2000; initiation of the preparatory work for the launching in the year 2000 of TPN on Agroforestry and Soil Conservation;

(e) The interregional meeting between Africa and Asia on early warning systems decided upon a series of recommendations for action to promote cooperation in the use of early warning systems in desertification control in the two regions;

(f) Staff in this Unit also provided programme-wide policy support and facilitated the process of the preparation of a new annex for Central and Eastern Europe.

**Table 10. Expenditures in 1999 for the facilitation of implementation and coordination in Latin America and the Caribbean region**

(United States dollars)

| Object of expenditure | Core budget | Supplementary Fund | Total 1999 expenditure |
|---|---|---|---|
| Staff costs (P-5, P-3, GS) | 247 033 | 0 | 247 033 |
| Consultants and experts | 12 183 | 6 749 | 18 932 |
| Travel of representatives and participants | 0 | 145 820 | 145 820 |
| Travel of staff | 0 | 14 077 | 14 077 |
| Contractual Services | 0 | 0 | 0 |
| Grants | 0 | 140 600 | 140 600 |
| **Total** | **259 216** | **307 245** | **566 461** |

33. Under the Core Budget, US$247,033 were used for salaries and other staff costs and US$12,183 for consultancies. Support to the facilitation activities from the Supplementary Fund amounted to US$307,245.

Table 11. Expenditures in 1999 for the facilitation of implementation and coordination in the Northern Mediterranean region

(United States dollars)

| Object of expenditure | Core Budget | Supplementary Fund | Total 1999 expenditure |
|---|---|---|---|
| Staff costs (P-4, P-3) | 99 626 | 0 | 99 626 |
| Consultants and experts | 0 | 1 270 | 1 270 |
| Travel of representatives and participants | 0 | 0 | 0 |
| Travel of staff | 2 936 | 1 231 | 4 167 |
| Contractual Services | 0 | 0 | 0 |
| Grants | 0 | 0 | 0 |
| **Total** | 102 562 | 3 903 | 106 465 |

[a]The subprogramme for the Northern Mediterranean region provided secretariat services also for the Office of Executive Direction and Management.

36. Under the Core Budget US$98,606 was used for salaries and other costs and US$3,956 for the travel of staff making a total of US$102 Support to the facilitation activities from the Supplementary Fund amount US$3,903. This programme provided also part-time secretarial services t office of Executive Direction and Management.

37. Facilitation of the implementation of the Convention in the Nor Mediterranean region included participation in the regional focal point ministerial meetings of the Annex IV countries. The secretariat facilitate process of national and the regional action programmes. Results of activities can be summarized as follows:

(a) Technical support given to the elaboration of the national a programmes of Annex IV countries resulted in the adoption of one NA June 1999 and the adoption of the guidelines of another NAP in July 1999. of Reference for the Regional Action Programme were adopted by the reg ministerial meeting in November 1999;

(b) Through regional meetings linkages between the secretariat, nat focal points and other stakeholders were strengthened in order to im cooperation and the exchange of information on matters related to Convention.

*External relations and public information and NGOs*

Table 12. Expenditures in 1999 for external relations and public informa

*(United States dollars)*

| Object of expenditure | 1999 budget | Actual 1999 expenditure | Supplemen Fund |
|---|---|---|---|
| Staff costs (1P-4, 2P-2, 1GS) | 323 000 | 210 657 | |
| Consultants and experts | 43 000 | 52 582 | |
| Travel of participants and representatives | 0 | 184 | 143 |
| Travel of staff | 22 000 | 61 719 | |
| Contractual services | 0 | 0 | 67 |
| Grants | 0 | 0 | 156 |
| **Total** | 388 000 | 325 142 | 367 |

38. Under the Core Budget US$210,657 were used for salaries and other costs and US$52,582 for consultancies and US$61,719 for travel of staff m a total of US$324,958. Travel involved mainly consultations and meetin relevance to the UNCCD process, particularly the governing bodies of Conventions and partner institutions as well as the General Assembly o Uni' Nations. Consultants assisted in drafting technical documents co ing information on synergies with other Conventions and other docu for the COP.

39. External relations and public information activities focused main three issues: inter-agency coordination, cooperation with non-governm organizations and public information.

40. Inter-agency coordination activities included active participatio meetings and regular consultations with key partner agencies supportin

implementation of the Convention. The exchange of memoranda with these partners has also continued.

41. Cooperation with the non-governmental community included support to the organization of NGO meetings, support to NGO participation to the COP and other related meetings, strengthening of outreach and awareness raising capacities and strengthening of institutional capacities.

42. Public information activities included publication of the UNCCD newsletter, re-prints of UNCCD information kits and memorabilia for the third Conference of the Parties. The UNCCD Information System was upgraded in order to entail a more user-oriented Web page.

43. Results of the activities can be summarized as follows:

(a) The secretariat has promoted the strengthening of relationships with the secretariats of other relevant Conventions, international financial institutions and other intergovernmental organizations, and has produced a substantive document on possible synergies;

(b) In various meetings the NGOs have raised awareness of the problems of local communities and of approaches related to desertification and land degradation. At the local level they have a crucial role in promoting grass-root involvement and the application of best practices in the implementation of the Convention.

(c) Educational and public awareness material has promoted and facilitated intersectorial and interregional dialogue as well as raised awareness of the problem of desertification, of its global character, and its interrelation with other environmental issues, in particular with biodiversity and climate change.

*Administration and Finance*

Table 13. Expenditures in 1999 for administration and finance

(United States dollars)

| Object of expenditure | 1999 budget | Actual 1999 expenditure | % of expenditure 1999 Budget |
|---|---|---|---|
| Staff costs (IP5, I23, 3GS) | 485 000 | 239 345 | 49.3 |
| Other personnel-related cost | 0 | 70 516 | |
| Travel of staff | 16 500 | 106 132 | 643.2 |
| Contractual services | 0 | 6 000 | |
| General operating expenses | 464 000 | 307 480 | 66.3 |
| Supplies and material | 35 000 | 52 039 | 148.7 |
| **Total** | **1 000 500** | **781 512** | **78.1** |

44. Under this programme an amount of US$781,512 of the Core Budget were used for salaries and related costs, temporary assistance required by the entire secretariat, administration and finance-related travel and general operating expenses of the UNCCD, i.e. for communications (telephone, fax, postage, pouches), rental and maintenance of UNCCD office premises, supplies and

materials, and rental and maintenance of photocopy and fax equipment. The programme also provided logistical support to travel.

45. Savings under this programme are attributable principally to the lower than projected cost of contribution to joint activities in Haus Carstanjen (e.g. to the costs of security, cleaning, repair and maintenance, utilities, postal and pouch facilities, telephone exchange services and third party insurance). These savings were realized as a result of successful negotiations by the Common Premises Manager to reduce the rates for telecommunication costs in 1999, to the postponement of planned repairs, and to the fact that, in a large part, the charges for the common premises are pro-rated to the number of personnel. Savings were also realized due to the fact that an agreement was reached with the United Nations to use the programme support to meet the salaries and related costs of the Chief of Administration and Finance (P-5) and part of the operating requirements (see paragraph 58 below.).

46. Results of Administration and Finance include:

(a) Improvement of the quality and timely submission of donor reports and establishment of a financial monitoring system to facilitate the process of funds monitoring and reporting;

(b) Successful relocation of the secretariat from Geneva to Bonn ensuring all general services readily available to both internal and external users;

(c) Development of job descriptions and recruitment process of all approved posts;

(d) Development of internal financial and other controls with a view that all resources are properly recorded, controlled and utilized;

(e) Taking over most of the services previously provided by UNOG such as maintaining of personnel data in the Integrated Management Information System (IMIS), reviewing, journalising, and payment of travel claims and reviewing, reconciling and preparing of accounting journal vouchers for all UNCCD financial transactions.

*Global Mechanism*

Table 14. Expenditures in 1999 Global Mechanism

*(United States dollars)*

| Object of expenditure | Proposed 1999 Budget | Actual 1999 Expenditure | % of Expenditure 1999 Budget |
|---|---|---|---|
| Contribution to joint activities | 1 003 000 | 987 000 | 98.4 |
| **Total:** | **1 003 000** | **987 000** | **98.4** |

47. A total of $987,000 was transferred to the International Fund for Agricultural Development (IFAD) to finance the administrative operations of the Global Mechanism. Table 15 gives details of the utilization of the funds transferred.

48. Details of the Core Budget Administrative Expenses Account of the Global Mechanism in 1999 are given in table 15. The total budget for the year was US$907,974. Of the total budget, 80.4 per cent was utilized and committed

during the year, while an amount of US$178,419 were transferred into the year 2000. The first full year of GM operations was 1999. It was a year of establishing the office and initiating the recruitment of staff. The recruitment of the first two Professional staff posts was delayed compared to the original estimates and is one of the reasons for the savings of the year.

Table 15. Core Budget Administration Account of the Global Mechanism in 1999
*(United States dollars)*

| Expenditures | Budget | Actual expenditures | Per cent | Uncommitted funds transferred to 2000 budget |
|---|---|---|---|---|
| Staff costs | 359 808 | 321 502 | 89.3 | 38 306 |
| Travel | 142 000 | 113 783 | 80.1 | 28 217 |
| Consultancy | 165 500 | 141 631 | 85.6 | 23 869 |
| General operating expenses | 70 982 | 10 271 | 14.5 | 60 711 |
| Supplies and equipment | 20 000 | 20 104 | 100.5 | (104) |
| Contractual services | 89 684 | 62 264 | 69.4 | 27 420 |
| Grants | 60 000 | 60 000 | 100.0 | 0 |
| **Subtotal** | **907 974** | **729 555** | **80.4** | **178 419** |
| Overhead charges | 79 026 | 79 026 | 100.0 | 0 |
| **Total** | **987 000** | **808 581** | **81.9** | **178 419** |

B. Supplementary contribution to Convention-related activities by the host Government (Bonn Fund)

49. A supplementary contribution of DM 1 million ($US542,476) was received from the Host Government for Convention-related activities and events. In addition to this an interest of US$7,187 were earned on the trust fund, therefore bringing the total fund to US$549,663. This contribution was used for the following activities:

| Activity | Actual expenditure |
|---|---|
| Support to the process of accession in eastern and central Europe, Latin America and the Caribbean, and OECD countries | 195 415 |
| Facilitation of flow of information among the key participants in the UNCCD process | 64 231 |
| Preparation and organization of CST activities, including those of the Ad Hoc Panel of Experts on Traditional Knowledge | 133 640 |
| Workshop on capacity-building and promotion of an enabling environment in the context of the Regional Action Programme to the UNCCD-Harare, Zimbabwe | 17 000 |
| Organization of the Second World Mayors' Forum (Bonn 1999) | 5 024 |
| Organization of expert group meetings on the Thematic Programme Network in Asia | 20 000 |
| Establishment of a regional network on information systems in Latin America and the Caribbean | 25 000 |
| Support to the organization of the Second Africa - Latin America and the Caribbean Forum on the implementation of the Convention | 29 275 |
| Programme support costs 13 per cent | 62 996 |
| Total expenditures | 547 581 |

## C. Special Trust Fund for Participation in the Process of UNCCD

**Table 16. Status of Special Trust Fund for Participation Expenditure report as of 31 December 1999**

*(United States dollars)*

| Income | Amount |
|---|---|
| Balance brought forward 1998 | 728 707 |
| Interest savings and prior years adjustments | 568 737 |
| Contributions received in 1999 | 177 221 |
| Interest earned in 1999 | 20 211 |
| **Total income 1999** | **1 494 876** |

| Expenditures | Amount |
|---|---|
| Bureau Meetings & informal consultations | 30 020 |
| Travel of 119 participants to COP 3 | 934 069 |
| Total direct expenditures | 964 089 |
| 13 per cent overhead charge | 125 332 |
| **Total actual 1999 expenditures** | **1 089 421** |
| **Unspent balance** | **405 455** |

50. Participation of eight COP Bureau members in two inter-sessional meetings (Bonn, Germany) was facilitated at a cost of US$30,020. COP and CST Bureau members and 119 participants (US$934,069) participated in the third session of the Conference of the Parties (Recife, Brazil, 15-26 November).

51. Total expenditure under the Participation Fund in 1999 is US$1,089,421 inclusive of programme support costs.

## III. HUMAN RESOURCES

### A. Core Budget

52. Approved staffing table for the secretariat under the 1999 Core Budget comprised 21 posts in the Professional and higher categories and 11 posts in the General Service category.

53. Information on the authorized posts in the secretariat by level and source of funding in 1999 is given in table 17. Apart from one P-5 post (see paragraph 45 above, under Administration and Finance) that was purposely left vacant under the Core Budget but encumbered instead under the Programme Support Account to help achieve the required core savings, all the other posts in table 17 were encumbered partly or fully during the reporting period.

Table 17. UNCCD secretariat posts in 1999 by source of funding

| Level | Core Budget | | | Programme Support | | Total filled posts | | |
|---|---|---|---|---|---|---|---|---|
| | No. | Gender | | No. | Gender | No. | Gender | |
| ASG | 1 | 1M | - | - | - | 1 | 1M | |
| D-1 | 1 | - | 1F | - | - | 1 | | 1F |
| P-5/L-5 | 6* | 4M | 1F | 1 | 1F | 7 | 4M | 2F |
| P-4 | 4 | 3M | 1F | - | - | 4 | 3M | 1F |
| P-3 | 6 | 5M | 1F | - | - | 6 | 5M | 1F |
| P-2 | 3 | - | 3F | - | - | 3 | | 3F |
| Subtotal | 21* | 13M | 7F | 1 | 1F | 22* | 13M | 8F |
| GS | 11 | 4M | 7F | 3 | 3F | 14 | 4M | 10F |
| Total | 32* | 17M | 14F | 4 | 4F | 36* | 17M | 18F |

*1 P-5 post left vacant in 1999 as this was subsequently provided for under the Programme Support Account.

54. Of the above 36 CCD staff members on board, the percentage of men to women in the Professional (P) category and above is 61.9 per cent and 38.1 per cent respectively. The percentage of men to women in the P-5 and above category is 62.5 per cent and 37.5 per cent respectively. The secretariat is continuing to do its best to attract qualified women to join the secretariat with a view to attaining the United Nations goal of 50/50 gender distribution.

55. In addition to the 36 staff members, the secretariat staffing was supplemented by: (a) a 12-month non-reimbursable loan of one Professional staff member (P-5) from the World Meteorological Organization; (b) three Junior Professional Officers (JPOs) sponsored by Germany (2) and by Italy (1); and (c) two temporary posts of less than one year's duration each established under

the Bonn Fund to provide substantive support to the process of accession in eastern and central Europe and to the facilitation of the flow of information among the key participants in the UNCCD process. These bring the total secretariat staffing to 42 persons (not including staff members recruited under temporary assistance funding).

56. Information on the geographical distribution of staff members encumbering the Core Budget or Programme Support posts is provided in table 18 below. While the paramount consideration in the appointment of UNCCD staff is the necessity for securing the highest standards of efficiency, competence and integrity, efforts have to be made to ensure that staff are recruited on as wide a geographical basis as possible.

57. Under the reporting period the aim of the secretariat was to ensure that all approved posts were filled in order for the secretariat to operate at full capacity. While there were delays in the recruitment process during the initial period of the year immediately following the move from Geneva, the occupancy rate as at the end of 1999 stands at 100 per cent.

**Table 18. Geographical distribution of staff members under the Core Budget and programme support account in the Professional and above category**

| Grade | Africa | Asia | Latin America & Caribbean | West Europe & others | Total |
|---|---|---|---|---|---|
| ASG | 1 | - | - | - | 1 |
| D-1 | - | - | - | 1 | 1 |
| P-5/L-5 | 1 | 1 | 1 | 3 | 6 |
| P-4 | 2 | 1 | - | 1 | 4 |
| P-3 | 3 | 1 | 2 | - | 6 |
| P-2 | 1 | 1 | - | 1 | 3 |
| Total | 8 | 4 | 3 | 6 | 21 |
| **Percentage of total** | 38.1 | 19.0 | 14.3 | 28.6 | 100.0 |

B. Programme support costs

58. The United Nations issued to the UNCCD secretariat in 1999 an allotment advice totalling US$315,000, which provided for salaries and related costs of one P-5 and three General Service posts (US$287,700); rental and maintenance of equipment (US$4,300) and communication costs (US$23,000). The allotment for these costs was requested in accordance with the decision adopted by the Conference of the Parties during its second session. The posts are those of the Chief of the Administration and Finance (P-5), two General Service posts in Administration and Finance, and one Liaison secretary in Geneva. As the UNCCD secretariat has assumed more administrative and financial functions and responsibilities formerly provided by the United Nations, it continues to negotiate for a bigger share of the programme support income earned from the UNCCD trust funds.

## IV. WORKING CAPITAL RESERVE

59. The UNCCD Financial Rules (paragraph 9) states that: "Within the General Fund there shall be maintained a working capital reserve at a level to be determined from time to time by the Conference of the Parties by consensus. The purpose of the working capital reserve shall be to ensure the continuity of operations in the event of a temporary shortfall of cash. Drawbacks from the

working capital reserve shall be restored from contributions as soon as possible."

60. At its second session the COP reaffirmed that the working capital reserve maintained within the General Fund shall be set at a level of 8.3 per cent of Core Budget expenditure, including overhead charges. At the end of the reporting period the working capital reserve had the required balance of US$371,765.

Annex I

**Status of contributions to the Core Budget as at 31 December 1999**

| Member States[a] | Assessed Contribution for 1999[b] | Overpayment of 1999 Contributions | Contributions received as of 31-12-1999 | Outstanding Contributions receivable as at 31-12-1999 |
|---|---|---|---|---|
| **Trust Fund for the Core Budget of the UNCCD (UX)** | | | | |
| Afghanistan[c] | 200 | - | - | 200 |
| Algeria | 6 690 | 9 200 | 2 510 | - |
| Angola[c] | 500 | - | - | 500 |
| Antigua and Barbuda | 150 | 200 | 50 | - |
| Argentina | 73 060 | 73 410 | 350 | - |
| Armenia | 790 | - | - | 790 |
| Austria | 67 160 | 67 460 | 300 | - |
| Azerbaijan | 1 590 | - | - | 1 590 |
| Bahrain | 1 240 | 1 683 | 443 | - |
| Bangladesh[c] | 500 | - | - | 500 |
| Barbados | 590 | 1 400 | 810 | - |
| Belgium | 78 660 | - | - | 78 660 |
| Belize | 50 | - | - | 50 |
| Benin[c] | 150 | - | - | 150 |
| Bolivia | 500 | - | - | 500 |
| Botswana | 740 | 1 800 | 1 060 | - |
| Brazil | 104 890 | - | - | 104 890 |
| Burkina Faso[c] | 150 | 150 | - | - |
| Burundi[c] | 50 | - | - | 50 |
| Cambodia[c] | 50 | 50 | - | - |
| Cameroon | 940 | - | - | 940 |
| Canada | 196 540 | 197 530 | 990 | - |
| Cape Verde[c] | 150 | - | - | 150 |
| Central African Republic[c] | 50 | - | - | 50 |
| Chad[c] | 50 | - | - | 50 |
| Chile | 9 370 | 9 370 | - | - |
| China | 69 440 | 69 775 | 335 | - |
| Colombia | 860 | - | - | 860 |
| Comoros[c] | 50 | - | - | 50 |
| Congo | 50 | - | - | 50 |
| Cook Islands | 50 | - | - | 50 |
| Costa Rica | 1 140 | - | - | 1 140 |
| Côte d'Ivoire | 640 | - | - | 640 |
| Cuba | 1 830 | - | - | 1 830 |
| Democratic Republic of the Congo[c] | 500 | - | - | 500 |
| Denmark | 49 320 | 68 000 | 18 680 | - |
| Djibouti[c] | 50 | - | - | 50 |
| Dominica | 50 | - | - | 50 |
| Dominican Republic | 1 040 | - | - | 1 040 |
| Ecuador | 1 440 | - | - | 1 440 |
| Egypt | 4 660 | 4 710 | 50 | - |

| Member States[a] | Assessed Contribution for 1999[b] | Overpayment of 1999 Contributions | Contributions received as of 31-12-1999 | Outstanding Contributions receivable as at 31-12-1999 |
|---|---|---|---|---|
| El Salvador | 840 | - | - | 840 |
| Equatorial Guinea[c] | 50 | - | - | 50 |
| Eritrea[c] | 50 | - | - | 50 |
| Ethiopia[c] | 450 | 384 | - | 66 |
| European Community | 123 920 | 124 700 | 780 | - |
| Fiji | 250 | 540 | 310 | - |
| Finland | 38 660 | 38 910 | 250 | - |
| France | 466 630 | 643 300 | 176 670 | - |
| Gabon | 1 040 | - | - | 1 040 |
| Gambia[c] | 50 | - | - | 50 |
| Georgia | 230 | - | - | 230 |
| Germany | 699 850 | 706 200 | 6 350 | - |
| Ghana | 500 | - | - | 500 |
| Greece | 25 030 | 25 300 | - | 80 |
| Grenada | 50 | - | - | 50 |
| Guatemala | 1 290 | - | - | 1 290 |
| Guinea[c] | 200 | - | - | 200 |
| Guinea-Bissau[c] | 50 | - | - | 50 |
| Guyana | 50 | - | - | 50 |
| Haiti[c] | 150 | - | - | 150 |
| Honduras | 200 | 461 | 261 | - |
| Hungary | 2 140 | - | - | 2 140 |
| Iceland | 2 290 | 2 280 | - | - |
| India | 21 360 | 21 439 | 79 | - |
| Indonesia | 13 390 | 13 190 | 100 | - |
| Iran (Islamic Republic of) | 13 790 | - | - | 13 790 |
| Ireland | 15 960 | 22 000 | 6 040 | - |
| Israel | 24 640 | 24 730 | 90 | - |
| Italy | 387 620 | 389 600 | 1 980 | - |
| Jamaica | 450 | 400 | - | 50 |
| Japan | 1 239 200 | 1 246 600 | 7 400 | - |
| Jordan | 450 | - | - | 450 |
| Kazakhstan | 4 710 | - | - | 4 710 |
| Kenya | 500 | - | - | 500 |
| Kiribati | 50 | - | - | 50 |
| Kuwait | 9 570 | - | - | 9 570 |
| Kyrgyzstan | 590 | - | - | 590 |
| Lao People's Democratic Republic[c] | 50 | - | - | 50 |
| Lebanon | 1 140 | - | - | 1 140 |
| Lesotho[c] | 150 | - | - | 150 |
| Liberia[c] | 150 | - | - | 150 |
| Libyan Arab Jamahiriya | 9 420 | - | - | 9 420 |
| Luxembourg | 4 860 | 4 910 | 50 | - |
| Madagascar[c] | 230 | 264 | 66 | - |
| Malawi[c] | 150 | 200 | 50 | - |
| Malaysia | 12 840 | 12 940 | 100 | - |
| Mali[c] | 150 | - | - | 150 |

| Member States[a] | Assessed Contribution for 1999[b] | Overpayment of 1999 Contributions | Contributions received as of 31-12-1999 | Outstanding Contributions receivable as at 31-12-1999 |
|---|---|---|---|---|
| Malta | 990 | 970 | - | 20 |
| Marshall Islands | 50 | 50 | - | - |
| Mauritania[c] | 50 | 100 | 50 | - |
| Mauritius | 640 | 800 | 160 | - |
| Mexico | 69 890 | - | - | 69 890 |
| Micronesia | 50 | - | - | 50 |
| Monaco | 140 | - | - | 140 |
| Mongolia | 150 | - | - | 150 |
| Morocco | 2 930 | 4 000 | 1 080 | - |
| Mozambique[c] | 50 | - | - | 50 |
| Myanmar[c] | 500 | - | - | 500 |
| Namibia | 500 | 550 | 50 | - |
| Nauru | 50 | - | - | 50 |
| Nepal[c] | 250 | 300 | 50 | - |
| Netherlands | 116 340 | 116 880 | 540 | - |
| Nicaragua | 50 | - | - | 50 |
| Niger[c] | 150 | 150 | - | - |
| Nigeria | 2 870 | - | - | 2 870 |
| Niue | 50 | - | - | 50 |
| Norway | 43 520 | 60 000 | 16 480 | - |
| Oman | 3 670 | 3 670 | - | - |
| Pakistan | 4 210 | - | - | 4 210 |
| Palau[c] | 50 | 100 | 50 | - |
| Panama | 940 | 1 300 | 360 | - |
| Paraguay | 990 | - | - | 990 |
| Peru | 6 740 | 9 400 | 2 660 | - |
| Portugal | 29 740 | 29 890 | 150 | - |
| Qatar | 1 390 | - | - | 1 390 |
| Republic of Korea | 5 910 | - | - | 5 910 |
| Republic of Moldova | 750 | - | - | 750 |
| Romania | 4 760 | 4 810 | 50 | - |
| Rwanda[c] | 50 | - | - | 50 |
| Saint Kitts and Nevis | 50 | - | - | 50 |
| Saint Lucia | 50 | - | - | 50 |
| Saint Vincent and the Grenadines | 50 | - | - | 50 |
| Samoa[c] | 50 | - | - | 50 |
| San Marino | 20 | - | - | 20 |
| Sao Tome and Principe[c] | 50 | - | - | 50 |
| Saudi Arabia | 40 600 | - | - | 40 600 |
| Senegal | 450 | - | - | 450 |
| Seychelles | 150 | - | - | 150 |
| Sierra Leone[c] | 50 | - | - | 50 |
| Singapore | 5 250 | - | - | 5 250 |
| Solomon Islands[c] | 50 | - | - | 50 |
| South Africa | 26 120 | 36 000 | 9 880 | - |
| Spain | 184 740 | 254 700 | 69 960 | - |
| Sri Lanka | 700 | - | - | 700 |
| Sudan[c] | 450 | - | - | 450 |

| Member States* | Assessed Contribution for 1999[b] | Overpayment of 1999 Contributions | Contributions received as of 31-12-1999 | Outstanding Contributions receivable as at 31-12-1999 |
|---|---|---|---|---|
| Switzerland | 196 | 200 | 50 | - |
| Sweden | 77 380 | 104 700 | 29 320 | - |
| Switzerland | 86 690 | 119 500 | 32 810 | - |
| Syrian Arab Republic | 4 340 | 4 502 | 1 740 | - |
| Tajikistan | 350 | - | - | 350 |
| Togo[c] | 50 | - | - | 50 |
| Tonga | 50 | - | - | 50 |
| Tunisia | 2 030 | 2 700 | 670 | - |
| Turkey | 31 380 | 31 570 | 190 | - |
| Turkmenistan | 590 | - | - | 590 |
| Tuvalu[c] | 50 | - | - | 50 |
| Uganda | 250 | - | - | 250 |
| United Arab Emirates | 12 690 | 12 716 | 26 | - |
| United Kingdom | 363 190 | 365 070 | 1 880 | - |
| United Republic of Tanzania | 250 | - | - | 250 |
| Uruguay | 2000 | - | - | 2 000 |
| Uzbekistan | 2430 | 10 245 | 7 815 | - |
| Vanuatu[c] | 50 | - | - | 50 |
| Venezuela | 12 190 | - | - | 12 190 |
| Vietnam | 500 | - | - | 500 |
| Yemen[c] | 500 | - | - | 500 |
| Zambia[c] | 150 | - | - | 150 |
| Zimbabwe | 440 | 440 | - | - |
| **Total** | **4 956 870** | **4 962 259** | **402 125** | **396 736** |

Notes:

Total estimated 1999 contributions were up to US$4,956,870 owing to rounding to the nearest US$10.

[a] Actual Parties include States and regional economic integration organizations that are Parties to the Convention as of 31 December 1999.

[b] Assessed 1999 contributions (US$ 4,956,870) are based on the portion of the approved Core Budget for 1998 of US$6,100,000 to be shared between the Parties (decision 9/COP.2, ICCD/COP(2)/14/Add.1).

[c] Least developed countries.

Annex II

**Status of contributions and outstanding pledges to the Supplementary Fund as at 31 December 1999**

| Donor country/ organization | Contribution (US$) | Outstanding pledges | |
|---|---|---|---|
| | | Pledge | US$ equivalent* |
| Argentina | - | US$ 15,000 | 15,000 |
| Belgium | 57,462 | - | |
| Canada | 34,714 | - | |
| Chile | 5,000 | - | |
| Denmark | 23,000 | - | |
| Finland | 175,802 | | |
| France | 61,164 | - | |
| Germany | - | DM 17,000 | 8,500 |
| Ireland | 10,780 | - | |
| Italy | 496,450 | Lit 300,000,000 | 154,160 |
| Japan | 1,725,324 | - | |
| Mexico | 20,000 | - | |
| Netherlands | 25,000 | - | |
| Norway | 24,379 | - | |
| Spain | 150,444 | - | |
| Sweden | 29,880 | | |
| Switzerland | 439,243 | | |
| United Kingdom | 30,000 | - | |
| United States of America | 50,000 | US$ 110,000 | 110,000 |
| IEPF | 42,996 | - | |
| IFAD | 80,000 | US$ 140,000 | 140,000 |
| OAU | 100,000 | | |
| UNEP | 207,500 | US$ 92,500 | 92,500 |
| WMO | 42,574 | Sw F 18,744 | 12,194 |
| **Total** | **3761 348** | | **647 214** |

Notes:

IEPF = Institut de l'énergie et de l'environnement de la Francophonie
IFAD = International Fund for Agricultural Development
OAU = Organization of African Unity
UNEP = United Nations Environment Programme
WMO = World Meteorological Organization

* At the official United Nations exchange rate for the month of December 1999.

Annex III

Status of contributions to the Special Trust Fund for Participation in the Process of UNCCD as at 31 December 1999

| Donor country/ organization | Contribution (US$) | Outstanding pledges | |
|---|---|---|---|
| | | Pledge | US$ equivalent* |
| Canada | 32 831 | CAD 5,000 | 3 329 |
| France | | FF 750 000 | 113 004 |
| Qatar | 75 000 | | |
| Norway | 69 390 | | |
| Total | 177 221 | - | 116 334 |

*At the official United Nations operational rate for the month of December 1999.